

## FISHERMEN'S QUESTIONNAIRE

### CERTAIN FROZEN OR CANNED WARMWATER AND PRAWNS FROM BRAZIL, CHINA, ECUADOR, INDIA, THAILAND, AND VIETNAM

*Return completed questionnaire to:*

#### UNITED STATES INTERNATIONAL TRADE COMMISSION

Office of Investigations, Room 615  
500 E Street, SW, Washington, DC 20436

**So as to be received by the Commission by no later than January 16, 2004**

The information called for in this questionnaire is for use by the United States International Trade Commission in connection with its antidumping investigations concerning certain frozen or canned warmwater shrimp and prawns from Brazil, China, Ecuador, India, Thailand, and Vietnam (invs. Nos. 731-TA-1063-1068 (Preliminary)). The information requested in the questionnaire is requested under the authority of the Tariff Act of 1930, title VII. This report is mandatory and failure to reply as directed can result in a subpoena or other order to compel the submission of records or information in your possession (19 U.S.C. § 1333(a)).

Name of firm \_\_\_\_\_

Address \_\_\_\_\_

City \_\_\_\_\_ State \_\_\_\_\_ Zip code \_\_\_\_\_

World Wide Web address \_\_\_\_\_

Has your firm harvested warmwater shrimp and prawns (as defined in the instruction booklet) at any time since January 1, 2000?

☐

**NO**

(Sign the certification below and promptly return only this page of the questionnaire to the Commission)

☐

**YES**

(Read the instruction booklet carefully, complete all parts of the questionnaire, sign the certification, and return the entire questionnaire to the Commission)

### CERTIFICATION

*I certify that the information herein supplied in response to this questionnaire is complete and correct to the best of my knowledge and belief and understand that the information submitted is subject to audit and verification by the Commission.*

*By signing this certification I also grant consent for the Commission, and its employees and contract personnel, to use the information provided in this questionnaire and throughout this investigation in any other import-injury investigations conducted by the Commission on the same or similar merchandise. (If you do not consent to such use, please note the certification accordingly.)*

*I acknowledge that information submitted in this questionnaire response and throughout this investigation may be used by the Commission, its employees, and contract personnel who are acting in the capacity of Commission employees, for developing or maintaining the records of this investigation or related proceedings for which this information is submitted, or in internal audits and investigations relating to the programs and operations of the Commission pursuant to 5 U.S.C. Appendix 3. I understand that all contract personnel will sign non-disclosure agreements.*

\_\_\_\_\_  
*Name and Title of Authorized Official*

\_\_\_\_\_  
*Date*

\_\_\_\_\_  
*Signature of Authorized Official*

( )  
\_\_\_\_\_  
*Phone*

( )  
\_\_\_\_\_  
*Fax*

**PART I.--GENERAL QUESTIONS**

The questions in this questionnaire have been reviewed with market participants to ensure that issues of concern are adequately addressed and that data requests are sufficient, meaningful, and as limited as possible. Public reporting burden for this questionnaire is estimated to average 20 hours per response, including the time for reviewing instructions, searching existing data sources, gathering the data needed, and completing and reviewing the questionnaire. Send comments regarding the accuracy of this burden estimate or any other aspect of this collection of information, including suggestions for reducing the burden, to the Office of Investigations, U.S. International Trade Commission, 500 E Street, SW, Washington, DC 20436.

- I-1. Please report below the actual number of hours required and the cost to your firm of preparing the reply to this questionnaire and completing the form.

\_\_\_\_\_ hours                      \_\_\_\_\_ dollars

- I-2. Provide the name and address of establishment(s) covered by this questionnaire (see page 3 of the instruction booklet for reporting guidelines). If your firm is publicly traded, please specify the stock exchange and trading symbol.

\_\_\_\_\_  
\_\_\_\_\_

- I-3. Do you support or oppose the petition? Please explain.

☐ Support      ☐ Oppose      ☐ Take no position

As indicated at the top of the page, your response to this question will be treated as business proprietary. However, if the Commission's final determination in the investigation is affirmative and an antidumping duty order is issued, the Commission, pursuant to section 754 of the Tariff Act of 1930, will provide a list of firms supporting the petition to the Customs Service for possible distribution of any antidumping duties that may be collected. If you wish to waive business proprietary treatment of your response to this question in order to make your position with respect to the petition public and allow inclusion of your firm on that list, indicate "yes" below.

☐ Yes                      ☐ No (that is, I do not wish my position on the petition to be made public)

- I-4. Is your firm owned, in whole or in part, by any other firm?

☐ No                      ☐ Yes--List the following information.

<u>Firm name</u>	<u>Address</u>	<u>Extent of ownership</u>
_____	_____	_____
_____	_____	_____

**PART I.--GENERAL QUESTIONS--Continued**

- I-5. Does your firm have any related firms, either domestic or foreign, which are engaged in importing certain frozen or canned warmwater shrimp and prawns from Brazil, China, Ecuador, India, Thailand, and Vietnam into the United States or which are engaged in exporting certain frozen or canned warmwater shrimp and prawns from Brazil, China, Ecuador, India, Thailand, and Vietnam the United States?

☐ No ☐ Yes--List the following information.

<u>Firm name</u>	<u>Address</u>	<u>Affiliation</u>
_____	_____	_____
_____	_____	_____

- I-6. Does your firm have any related firms, either domestic or foreign, which are engaged in the production of certain frozen or canned warmwater shrimp and prawns ?

☐ No ☐ Yes--List the following information.

<u>Firm name</u>	<u>Address</u>	<u>Affiliation</u>
_____	_____	_____
_____	_____	_____

**PART II.--TRADE AND RELATED INFORMATION**

Further information on this part of the questionnaire can be obtained from **Jim McClure (202-205-3191)** or **Elizabeth Haines (202-205-3200)**. **Supply all data requested on a calendar-year basis.**

- II-1. Who should be contacted regarding the requested trade and related information?

Company contact: \_\_\_\_\_  
Name and title

\_\_\_\_\_

Phone No. \_\_\_\_\_ E-mail address \_\_\_\_\_

- II-2. Has your firm experienced any plant openings, relocations, expansions, acquisitions, consolidations, closures, or prolonged shutdowns because of strikes or equipment failure; curtailment of production because of shortages of materials; or any other change in the character of your operations or organization relating to the harvesting of warmwater shrimp and prawns since January 1, 2000?

☐ No ☐ Yes--Supply details as to the time, nature, and significance of such changes.

\_\_\_\_\_  
\_\_\_\_\_

**PART II.--TRADE AND RELATED INFORMATION--Continued**

- II-3. Please list any other fish or seafood products you harvested by the use of same boat in which you harvested warmwater shrimp and prawns, and provide the share of net sales accounted for by these other fish or seafood products in your most recent fiscal year:

Fish or seafood products	Share of sales
_____	_____
_____	_____

How did you allocate your employment data (e.g., sales)? \_\_\_\_\_

- II-4. Please describe the constraint(s) that set the limit(s) on your harvesting capabilities.

\_\_\_\_\_

\_\_\_\_\_

- II-5. Since January 1, 2000, has your firm been involved in a toll agreement (see definition in the instruction booklet) regarding the harvesting of warmwater shrimp and prawns ?

☐ No      ☐ Yes--Name firm: \_\_\_\_\_

- II-6. Does your firm harvest warmwater shrimp and prawns in a foreign trade zone (FTZ)?

☐ No      ☐ Yes--Identify FTZ(s): \_\_\_\_\_

- II-7. Since January 1, 2000, has your firm imported certain frozen or canned warmwater shrimp and prawns?

☐ No      ☐ Yes--**COMPLETE AND RETURN THE ENCLOSED IMPORTERS' QUESTIONNAIRE**

- II-8. Please report the number of shrimp boats your firm owns or operates. For each boat please provide its length (in feet), nature of on-board processing (if any), location in which the boat is moored, and the location of the shrimp fisheries the boat fishes.

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

**PART II.--TRADE AND RELATED INFORMATION--Continued**

- II-9. Please describe any state and federal limitations on shrimp fishing activities, e.g., limitations on length of season, use of specified gear (TED's and by-catch reduction devices, etc.):

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- II-10. Please describe any contracts or other legal relationships your firm may have with processors of certain frozen or canned warmwater shrimp and prawns.

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**PART II.--TRADE AND RELATED INFORMATION--Continued**

II-11. Report the data requested below concerning your firm's warmwater shrimp and prawn harvesting operations.  
(See definitions in the instruction booklet.)

(Quantity in pounds, value in \$1,000)					
Item	Calendar years			January-September	
	2000	2001	2002	2002	2003
<b>WARMWATER SHRIMP AND PRAWNS HARVESTED</b> (quantity)					
<b>U.S. SHIPMENTS:</b>					
<b>Sold to processors/docks:</b>					
Quantity					
Value					
<b>Transferred to related processors/docks:</b>					
Quantity					
Value <sup>1</sup>					
<b>Other:</b>					
Quantity					
Value					
<b>EXPORT SHIPMENTS:<sup>2</sup></b>					
Quantity					
Value					
<b>AVERAGE NUMBER OF PRWs</b> (Number of people working on boat(s))					
<b>DAYS WORKED BY PRWs</b> (Number of days times number of people working)					
<b>WAGES PAID TO PRWs</b> (value—i.e., crew share)					
<sup>1</sup> Transfers to related firms must be valued at fair market value. In the event that you use a different basis for valuing these transactions, please specify that basis (e.g., cost, cost plus, etc.) and provide value data using that basis for 2000, 2001, and 2002 below:					
<sup>2</sup> Identify your principal export markets: _____					

**PART II.--TRADE AND RELATED INFORMATION--Continued**

- II-12. If you reported transfers to related firms in question II-9, please indicate the nature of the relationship between your firm and the related firms (e.g., joint venture, wholly owned subsidiary), whether the transfers were priced at market value or by a non-market formula, whether your firm retained marketing rights to all transfers, and whether the related firms also processed inputs from sources other than your firm.

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**PART III.--FINANCIAL INFORMATION--Continued**

- III-7. Operations on warmwater shrimp and prawns.--Report the revenue and related cost information requested below on the warmwater shrimp and prawn operations of your U.S. establishment(s). Provide data for your three most recently completed fiscal years in chronological order from left to right, and for the specified interim periods.

<b>(Quantity in pounds, value in 1,000 dollars)</b>					
<b>Item</b>	<b>Fiscal years ended--</b>			<b>January-September</b>	
	<u>          </u>	<u>          </u>	<u>          </u>	<b>2002</b>	<b>2003</b>
Net sales quantities <sup>1</sup>					
Net sales values <sup>1</sup>					
<b>Operating expenses: <sup>2</sup></b>					
Labor					
Fuel, oil, groceries, and ice					
Fishing gear					
Taxes and licenses (other than federal and state income taxes)					
Insurance					
Vessel repairs and maintenance					
Interest					
Depreciation					
All other expenses					
Total operating expenses					
Corporation officers' or partners' salaries					
Net income or (loss) before income taxes					
Other items:					
Capital expenditures					
Total assets					
<sup>1</sup> Include only sales of warmwater shrimp and prawn operations. The quantities and values should approximate the shipment quantities and values reported in Part II of this questionnaire.					
<sup>2</sup> Operating expenses related to warmwater shrimp and prawn operations only.					

